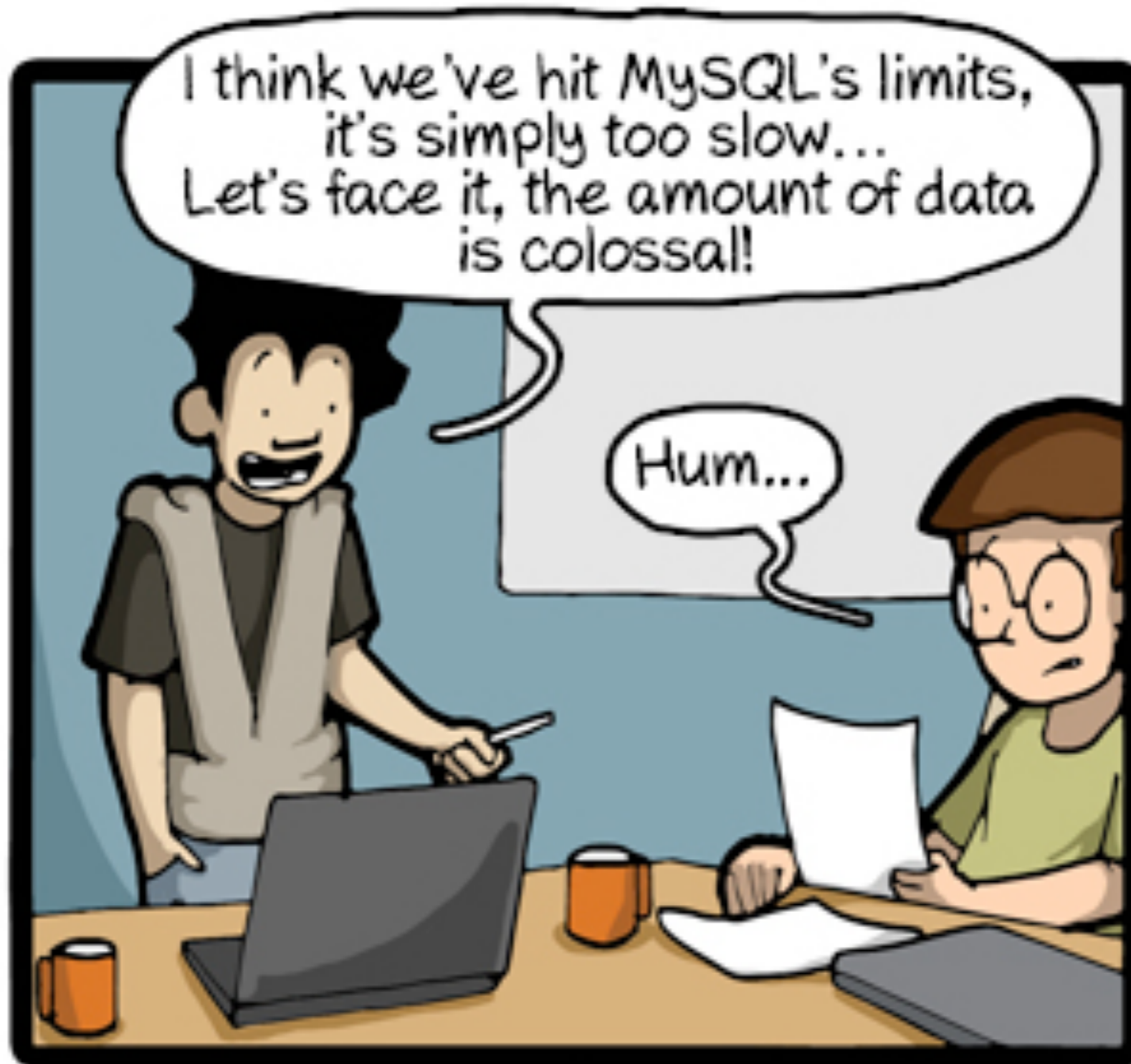


# **Volkskrankheit “stiefmütterliche Indizierung”**

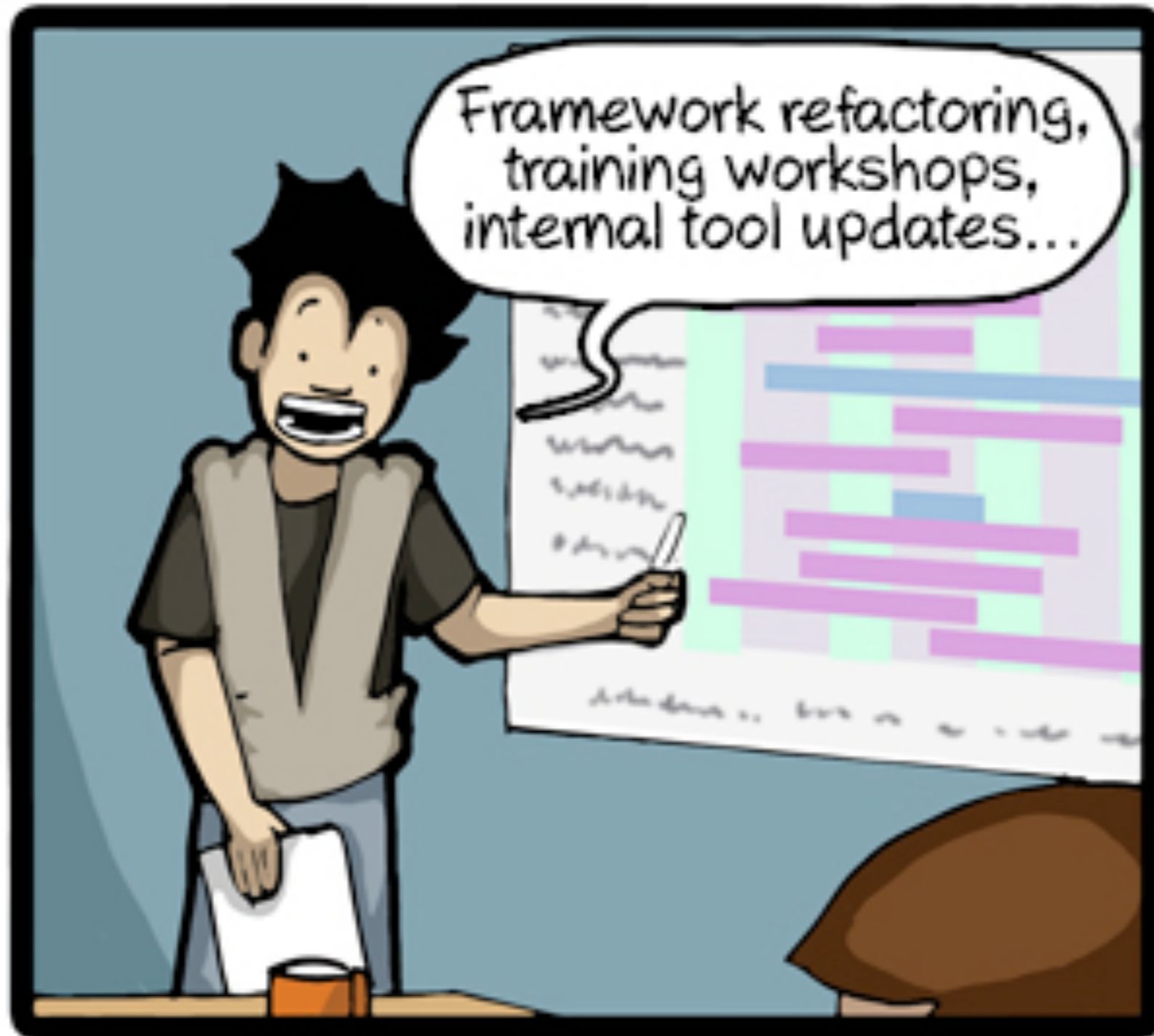
**@MarkusWinand**



I think we've hit MySQL's limits,  
it's simply too slow...  
Let's face it, the amount of data  
is colossal!

Hum...











CommitStrip.com

# Takeaway #1: Pandemic Scale

---



(Symbolic image; not real data)

[http://upload.wikimedia.org/wikipedia/commons/c/c7/2009\\_world\\_subdivisions\\_flu\\_pandemic.png](http://upload.wikimedia.org/wikipedia/commons/c/c7/2009_world_subdivisions_flu_pandemic.png)



# Takeaway #2: Caused by Success



## SEPARATION OF CONCERNS

Don't let your plumbing code pollute your software.

# Takeaway #3: It's Not Your Fault



# The Problem

---

## Index/Query Mismatch

# The Problem: Index/Query Mismatch

---

“A very common cause of performance problems is lack of proper indexes or the use of queries that are not using existing indexes.”

—Buda Consulting

[http://www.budaconsulting.com/Portals/52677/docs/top\\_5\\_tech\\_brief.pdf](http://www.budaconsulting.com/Portals/52677/docs/top_5_tech_brief.pdf)

# The Problem: Index/Query Mismatch

---

“A **very common** cause of performance problems is lack of proper indexes or the use of queries that are not using existing indexes.”

—Buda Consulting

[http://www.budaconsulting.com/Portals/52677/docs/top\\_5\\_tech\\_brief.pdf](http://www.budaconsulting.com/Portals/52677/docs/top_5_tech_brief.pdf)

# Quantifying the Problem

---

# Quantifying the Problem

---

Percona White Paper:

*Reasons of performance problems  
that caused production downtime:*

# Quantifying the Problem

---

Percona White Paper:

*Reasons of performance problems  
that caused production downtime:*

38% bad SQL



# Quantifying the Problem

---

## Percona White Paper:

*Reasons of performance problems that caused production downtime:*

38% bad SQL

15% schema and indexing

# Quantifying the Problem

---

Survey by sqlskills.com:

*Root causes of the last few SQL  
Server performance problems:*

# Quantifying the Problem

---

Survey by sqlskills.com:

*Root causes of the last few SQL  
Server performance problems:*

*27% T-SQL*

# Quantifying the Problem

---

Survey by sqlskills.com:

*Root causes of the last few SQL  
Server performance problems:*

*27% T-SQL*

*19% Poor indexing*

# Quantifying the Problem

---

Craig S. Mullins (strategist and researcher):

*„As much as 75% of poor relational performance is caused by "bad" SQL and application code.”*

# Quantifying the Problem

---

Craig S. Mullins (strategist and researcher):

*„As much as 75% of poor relational performance is caused by "bad" SQL and application code.”*

Noel Yuhanna (Forrester Research):

*„The key difficulties surrounding performance continue to be poorly written SQL statements, improper DBMS configuration and a lack of clear understanding of how to tune databases to solve performance issues.”*

# Quantifying the Problem

---

*My observation:*

# Quantifying the Problem

---

*My observation:*

~50% of SQL performance problems  
are caused by improper index use



# The Root Cause

---

# The Root Cause

---

Indexing is a afterthought...

# The Root Cause

---

Indexing is a afterthought...  
...often done by the wrong people

The Root Cause: DBAs are Indexing

---

How did databases  
work before SQL?

The Root Cause: DBAs are Indexing

---

Index use was intrinsically  
tied to the queries.

# The Root Cause: DBAs are Indexing

---

## Example: dBase

# The Root Cause: DBAs are Indexing

---

Example: dBase

Developers had to...

...use indexes explicitly when searching:

```
set index to last_name  
find Winand
```

# The Root Cause: DBAs are Indexing

---

Example: dBase

Developers had to...

...use indexes explicitly when searching:

```
set index to last_name  
find Winand
```

...take care of index maintenance:

```
set index to last_name, idx2  
append
```



# The Root Cause: DBAs are Indexing

---

SQL is an abstraction that only defines the logical view.

The actual SQL implementation takes care of everything else.

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

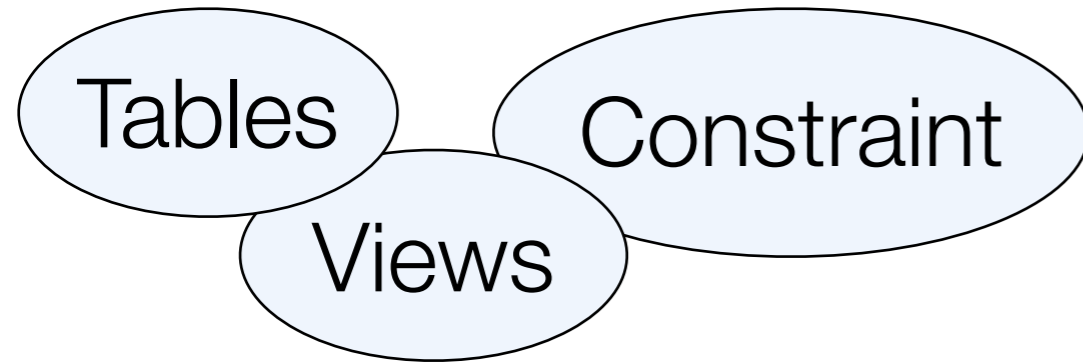
Views

SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:



SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

SQL Databases (software)  
have:

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:



# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:

Storage  
management

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:

Storage  
management

Backup  
& recovery

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:

Storage  
management

Backup  
& recovery

High  
Availability

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:

Storage  
management

Backup  
& recovery

High  
Availability

Bugs &  
patches

# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

SQL Databases (software)  
have:

Storage  
management

Backup  
& recovery

High  
Availability

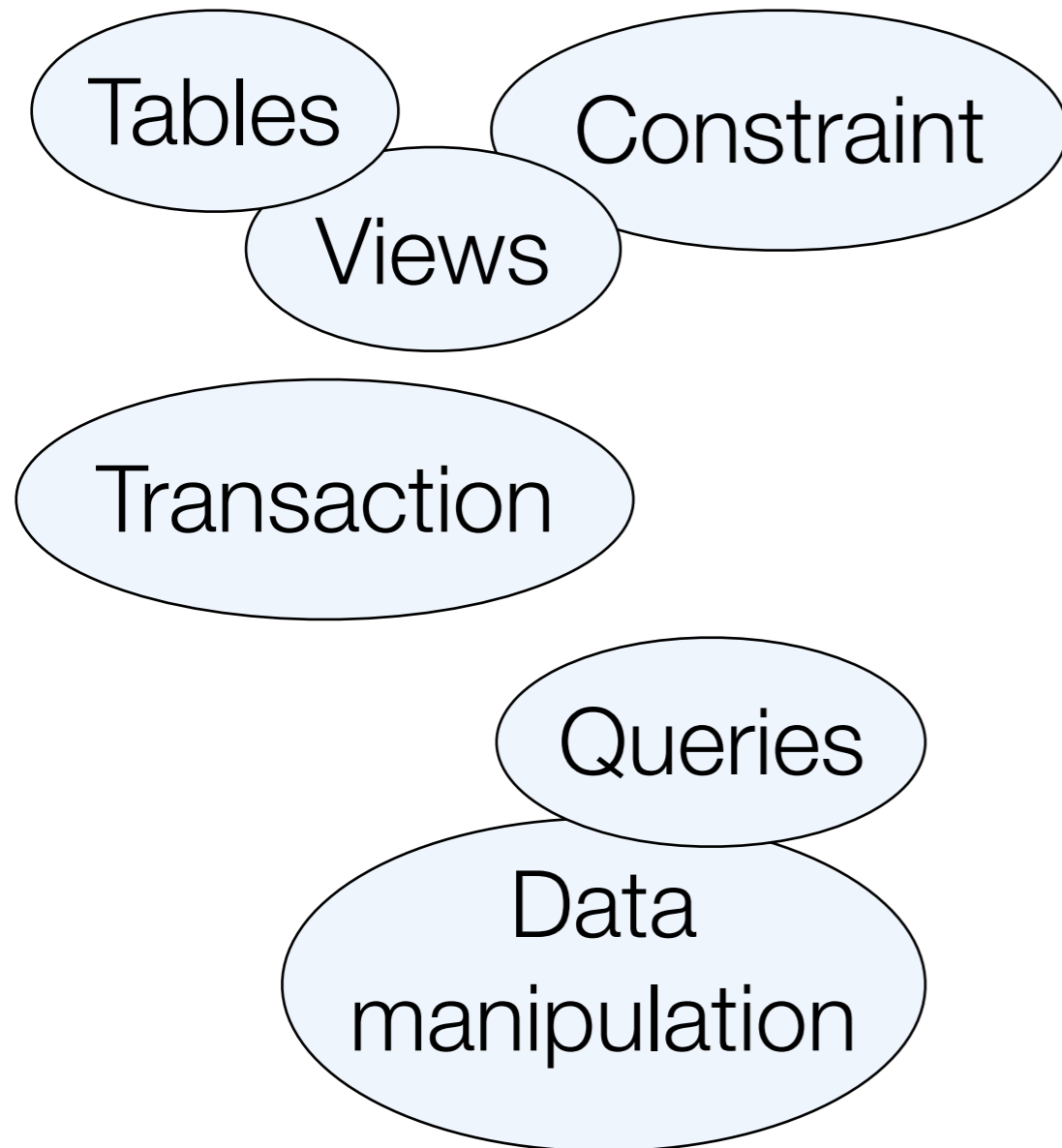
Bugs &  
patches

Tuning  
parameters

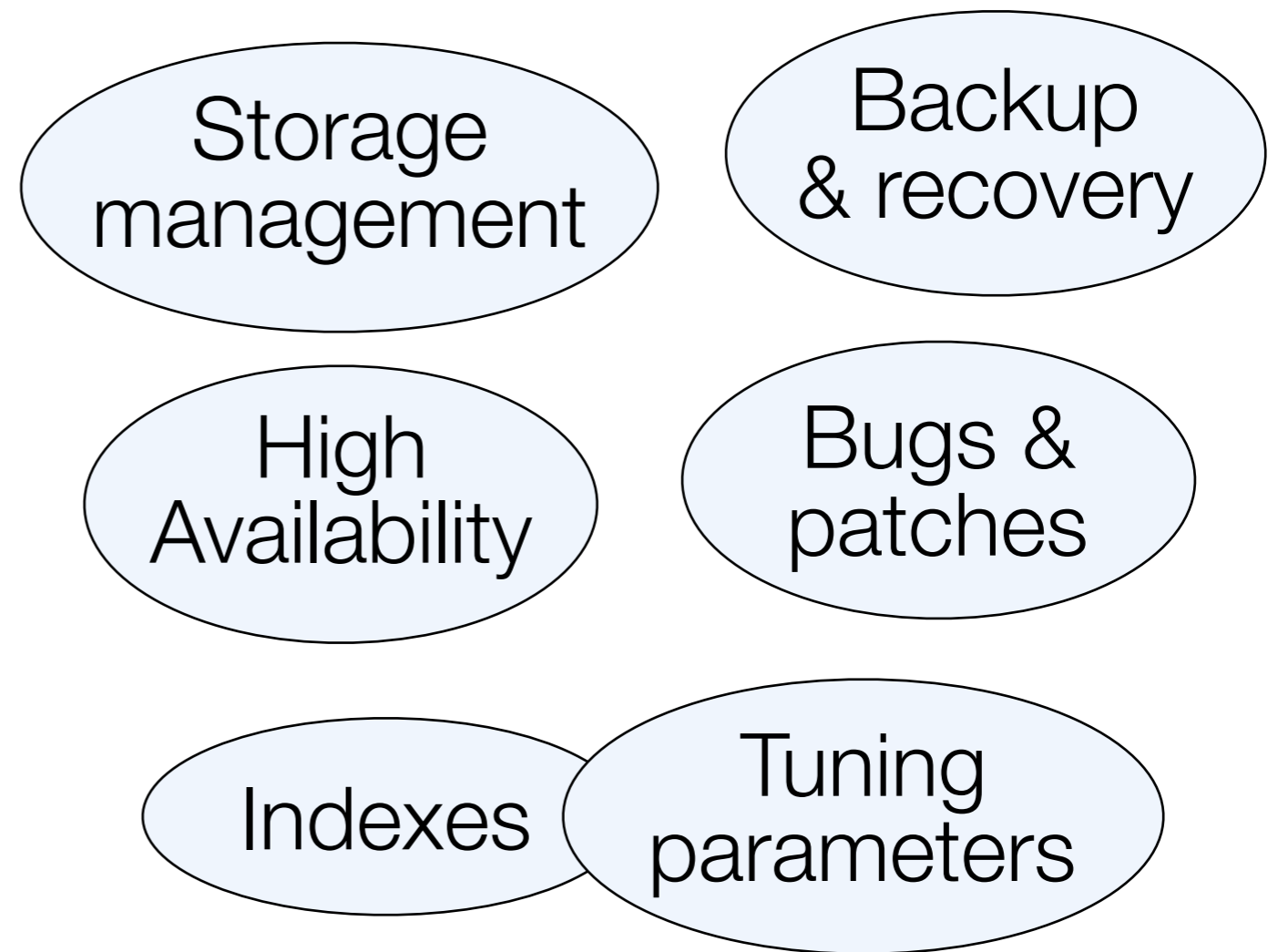
# The Root Cause: DBAs are Indexing

---

SQL (language)  
has:



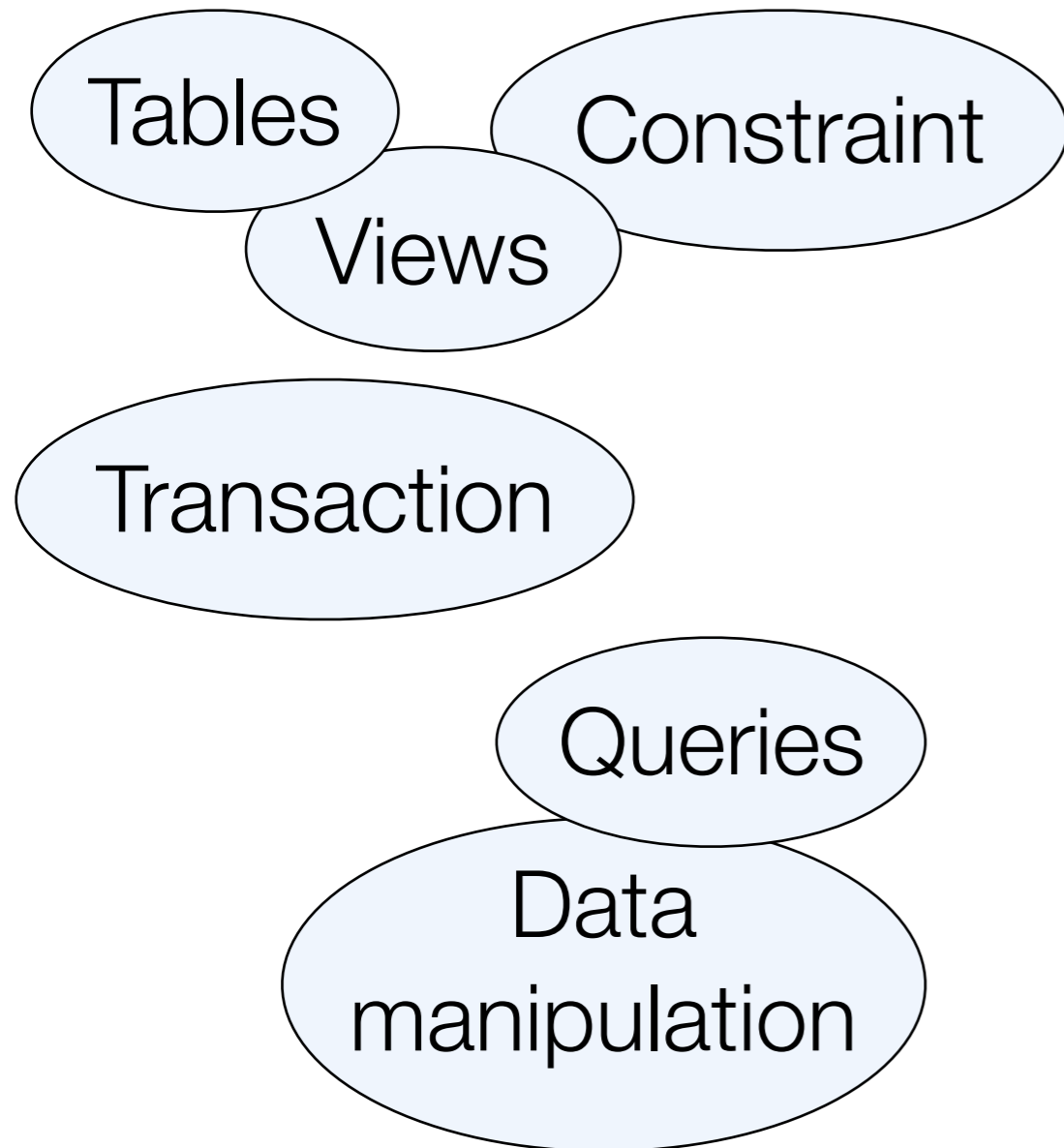
SQL Databases (software)  
have:



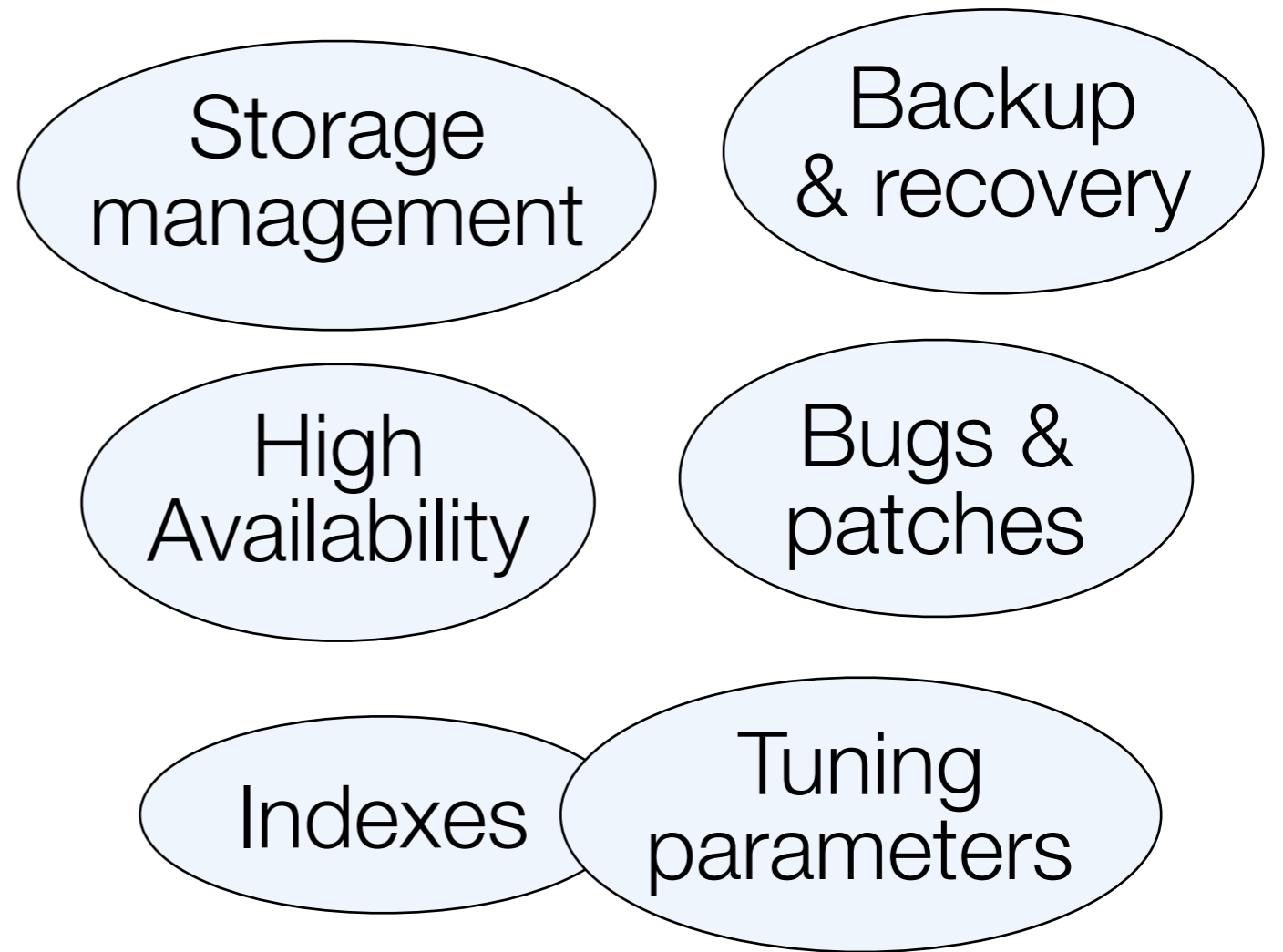
# The Root Cause: DBAs are Indexing

---

## Developers



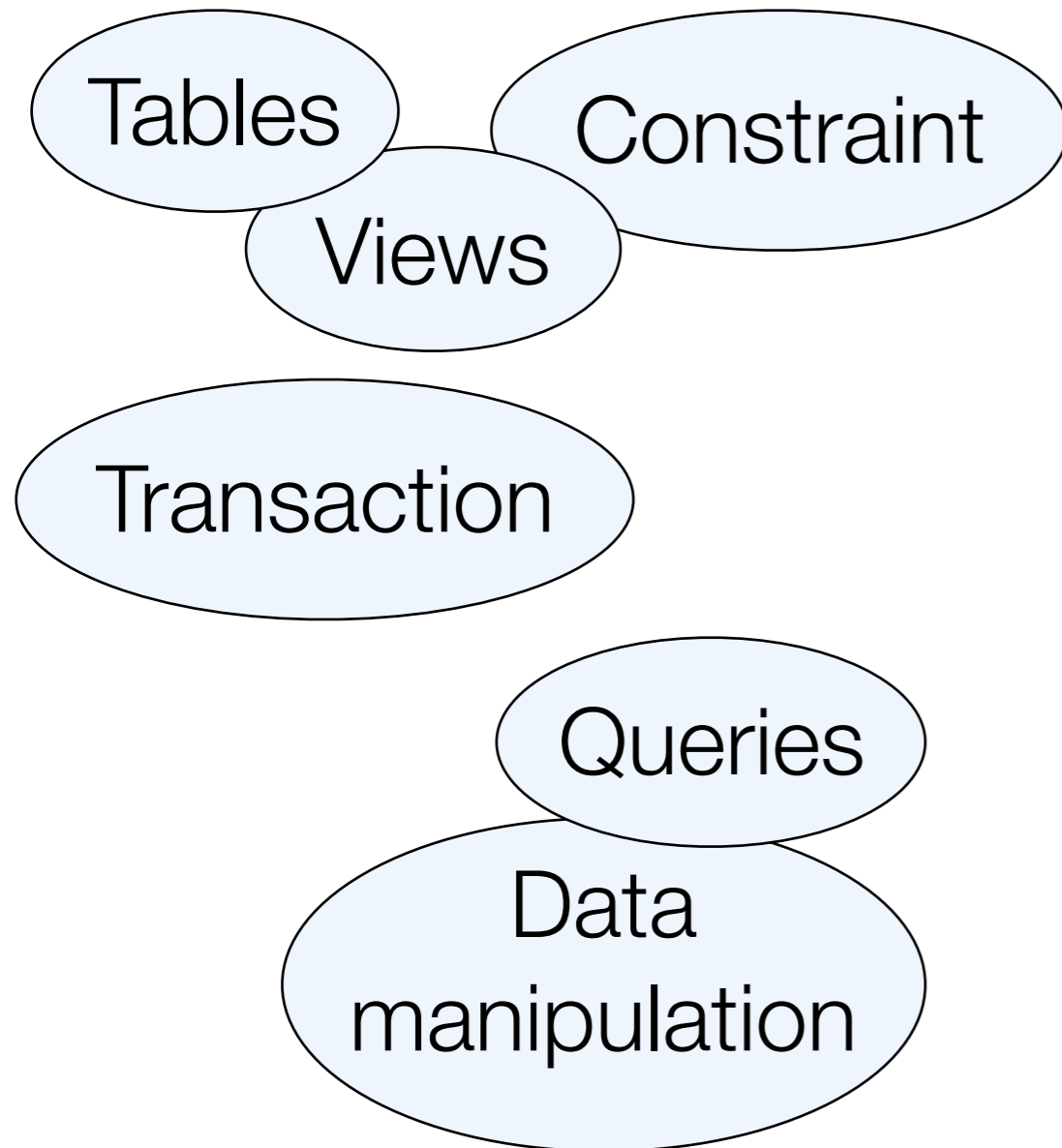
## SQL Databases (software) have:



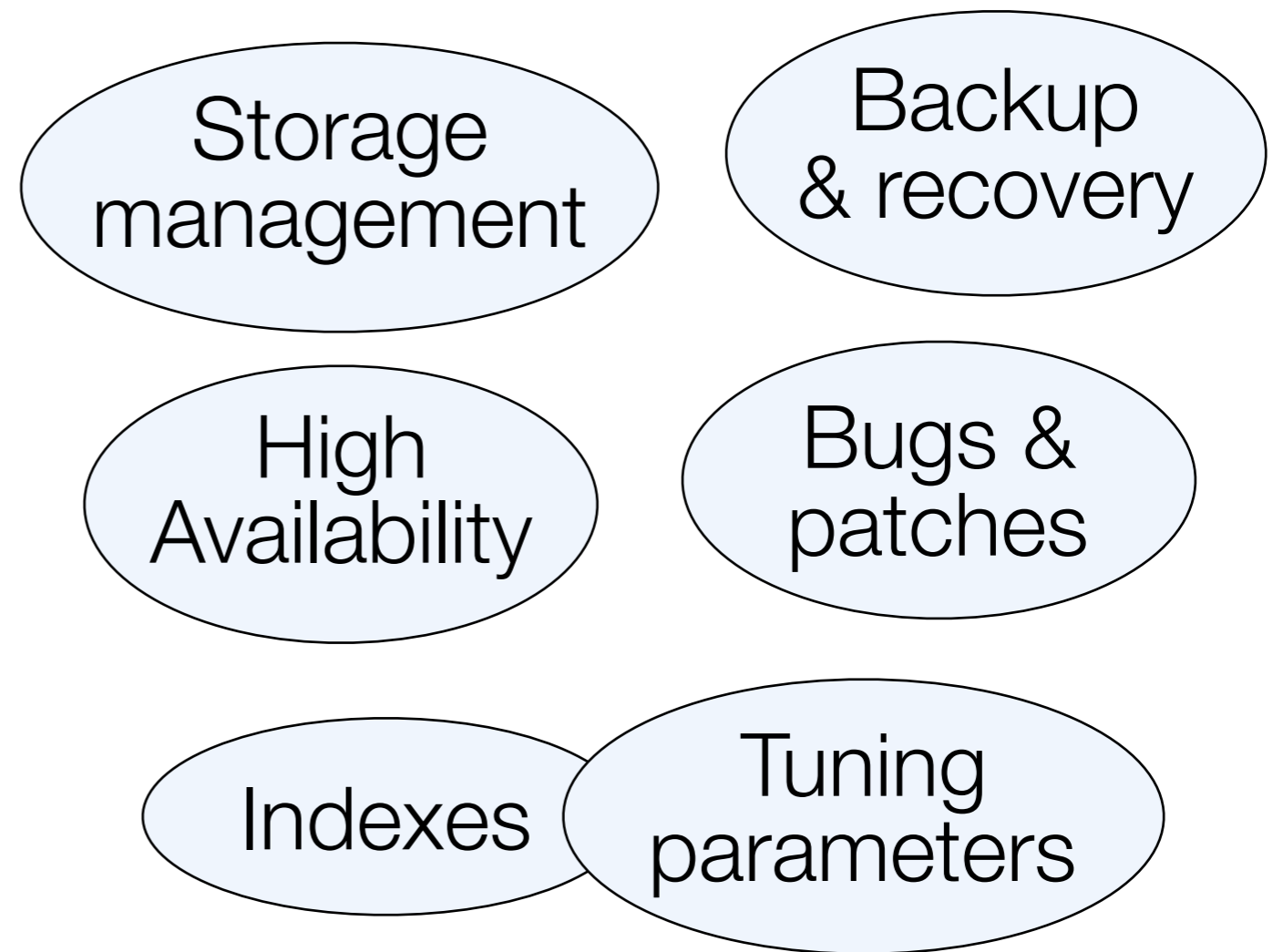
# The Root Cause: DBAs are Indexing

---

## Developers



## Administrators





# The Root Cause: DBAs are Indexing

---

Today, indexing is often considered a tuning task that belongs to the administrators responsibilities.

The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

Have to "investigate"  
to find the queries.

# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

Have to “investigate”  
to find the queries.



# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

Have to "investigate"  
to find the queries.

It is time consuming and  
almost always incomplete.



# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

Have to "investigate"  
to find the queries.

It is time consuming and  
almost always incomplete.

# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

DBAs can't change  
the queries

Have to "investigate"  
to find the queries.

It is time consuming and  
almost always incomplete.



# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

DBAs can't change  
the queries

Have to "investigate"  
to find the queries.

Can make the index  
match the query.

It is time consuming and  
almost always incomplete.

# The Root Cause: DBAs are Indexing

---

A misconception that causes new problems:

DBAs don't know  
the queries

Have to "investigate"  
to find the queries.

It is time consuming and  
almost always incomplete.

DBAs can't change  
the queries

Can make the index  
match the query.

Can't make the query  
match the index!

# The Solution

---

# The Solution

---

Indexing is a  
Development Task

# The Solution: It's a Dev Task

---

## Developers

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

## Administrators

Storage  
management

Backup  
& recovery

High  
Availability

Bugs &  
patches

Indexes

Tuning  
parameters

# The Solution: It's a Dev Task

---

## Developers

Tables

Constraint

Views

Transaction

Queries

Data  
manipulation

Indexes

*Must match!*

## Administrators

Storage  
management

Backup  
& recovery

High  
Availability

Bugs &  
patches

Tuning  
parameters

Another Problem: It's not Taught

---

# Another Problem: It's not Taught

---

Indexes are not part of the pure SQL (language) literature because indexes are not part of the SQL standard.



# Another Problem: It's not Taught

---

Indexes are not part of the pure SQL (language) literature because indexes are not part of the SQL standard.

11 SQL books analyzed: only **1.0%** of the pages are about indexes (70 out of 7330 pages).

# Another Problem: It's not Taught

---

Indexes are not part of the pure SQL (language) literature because indexes are not part of the SQL standard.

11 SQL books analyzed: only **1.0%** of the pages are about indexes (70 out of 7330 pages).

Examples:

Oracle SQL by Example: **2.0%** (19/960)

Beginning DBs with PostgreSQL: **0.8%** (5/664)

Learning SQL: **3.3%** (11/336 — highest rate in class)

# Another Problem: It's not Taught

---

Proper index usage is sometimes covered in database tuning books but is always buried between hundreds of pages of HW, OS and DB parameterization topics.

# Another Problem: It's not Taught

---

Proper index usage is sometimes covered in database tuning books but is always buried between hundreds of pages of HW, OS and DB parameterization topics.

14 database administration books analyzed: **6%** of the pages are about indexes (395 out of 6568 pages).

# Another Problem: It's not Taught

---

Proper index usage is sometimes covered in database tuning books but is always buried between hundreds of pages of HW, OS and DB parameterization topics.

14 database administration books analyzed: **6%** of the pages are about indexes (395 out of 6568 pages).

## Examples:

Oracle Performance Survival Guide: **5.2%** (38/730)

High Performance MySQL: **8%** (55/684)

PostgreSQL 9 High Performance: **5.8%** (27/468)

SQL Server 2012 Query Perf. Tuning: **19.6%** (98/499)

# Another Problem: It's not Taught

---

Proper index usage is sometimes covered in database tuning books but is always buried between hundreds of pages of HW, OS and DB parameterization topics.

14 database administration books analyzed: **6%** of the pages are about indexes (395 out of 6568 pages).

## Examples:

Oracle Performance Survival Guide: **5.2%** (38/730)

High Performance MySQL: **8%** (55/684)

PostgreSQL 9 High Performance: **5.8%** (27/468)

SQL Server 2012 Query Perf. Tuning: **19.6%** (98/499)

Another Problem: It's not Taught

---

# Another Problem: It's not Taught

---

## **Consequence:**

Developers don't know how to use indexes properly.



# Another Problem: It's not Taught

---

## **Consequence:**

Developers don't know how to use indexes properly.

## **Results of the 3-minute online quiz:**

<https://use-the-index-luke.com/3-minute-test>

5 questions: each about a specific index usage pattern.

Non-representative!

# 3-Minute Quiz: Indexing Skills

---

Q1: Good or Bad? *(Function use)*

```
CREATE INDEX tbl_idx ON tbl (date_column);
```

```
SELECT text, date_column  
FROM tbl
```

```
WHERE EXTRACT(YEAR FROM date_column) = 2018;
```

# 3-Minute Quiz: Indexing Skills

---

Q1: Good or Bad? *(Function use)*


```
CREATE INDEX tbl_idx ON tbl (date_column);
```

```
SELECT text, date_column  
FROM tbl
```

```
WHERE EXTRACT(YEAR FROM date_column) = 2018;
```



## Tip

 Tweet this tip

Write queries for continuous periods as explicit range condition.

# 3-Minute Quiz: Indexing Skills

---

```
...WHERE EXTRACT(YEAR FROM date_column) = 2018
```

**Seq Scan** on tbl (rows=365)

Rows Removed by Filter: 49635

Total runtime: **118.796 ms**

```
...WHERE date_column >= '2018-01-01'  
      AND date_column <  '2019-01-01'
```

**Index Scan** using tbl\_idx on tbl (rows=365)

Total runtime: **0.430 ms**

(Above: simplified PostgreSQL execution plans when selecting 365 rows out of 50000)

# 3-Minute Quiz: Indexing Skills

---

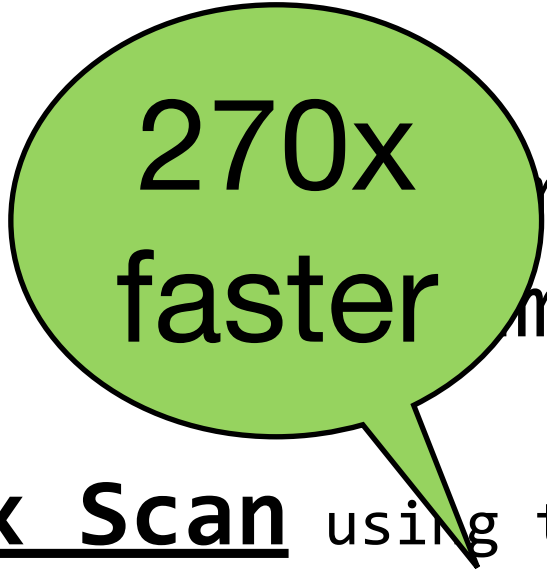
...WHERE EXTRACT(YEAR FROM date\_column) = 2018

**Seq Scan** on tbl (rows=365)

Rows Removed by Filter: 49635

Total runtime: **118.796 ms**

...WHERE date\_column >= '2018-01-01'  
AND date\_column < '2019-01-01'



270x  
faster

**Index Scan** using tbl\_idx on tbl (rows=365)

Total runtime: **0.430 ms**

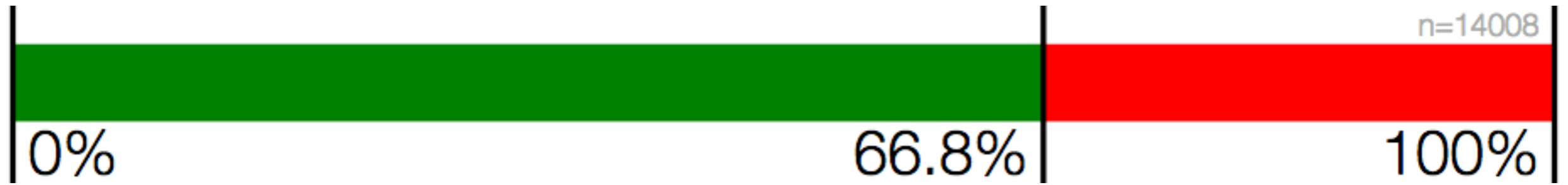
(Above: simplified PostgreSQL execution plans when selecting 365 rows out of 50000)

# 3-Minute Quiz: Q1 — Results

---

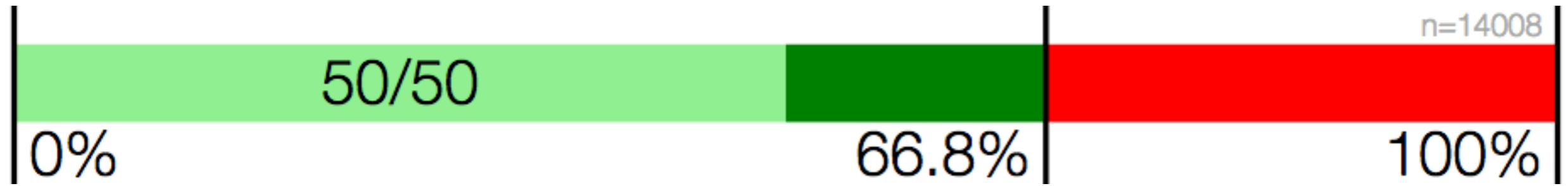
# 3-Minute Quiz: Q1 — Results

---



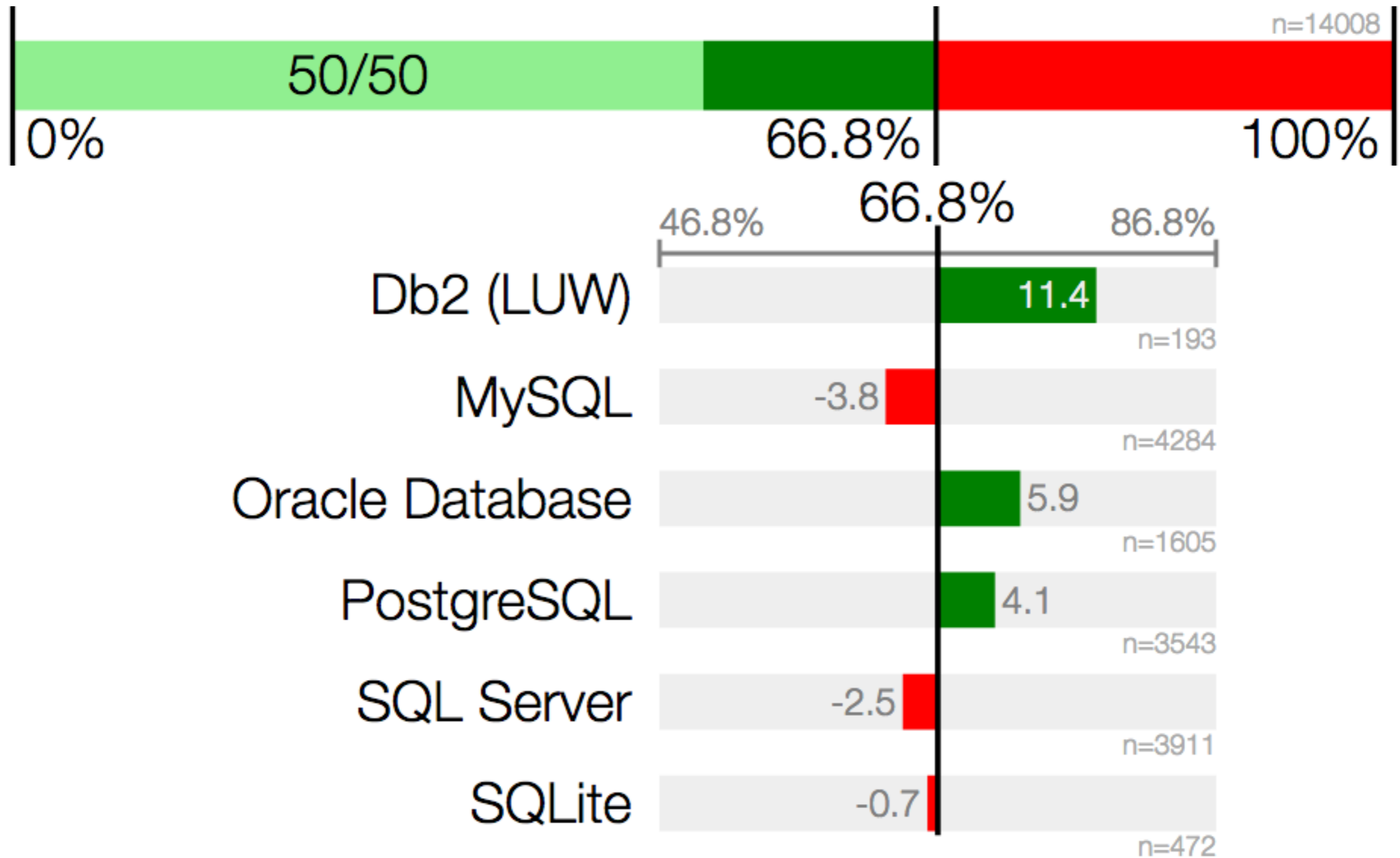
# 3-Minute Quiz: Q1 — Results

---





# 3-Minute Quiz: Q1 — Results



# 3-Minute Quiz: Indexing Skills

---

Q2: Good or Bad? (*Indexed Top-N, no IOS*)

```
CREATE INDEX tbl_idx ON tbl (a, date_col);
```

```
SELECT id, a, date_col  
FROM tbl  
WHERE a = ?  
ORDER BY date_col DESC  
LIMIT 1;
```

# 3-Minute Quiz: Indexing Skills

---

Q2: Good or Bad? (*Indexed Top-N, no IOS*)

```
CREATE INDEX tbl_idx ON tbl (a, date_col);
```

```
SELECT id, a, date_col  
FROM tbl  
WHERE a = ?  
ORDER BY date_col DESC  
LIMIT 1;
```



## Important

A pipelined top-N query doesn't need to read and sort the entire result set.

# 3-Minute Quiz: Indexing Skills

---

It is already the most optimal solution (not considering index-only scan).

Limit (rows=1)

-> **Index Scan Backward** using tbl\_idx on tbl (rows=1)

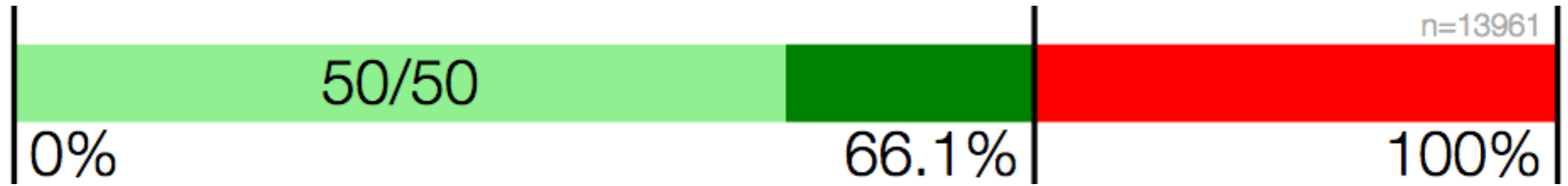
Index Cond: (a = 123::numeric)

Total runtime: **0.053 ms**

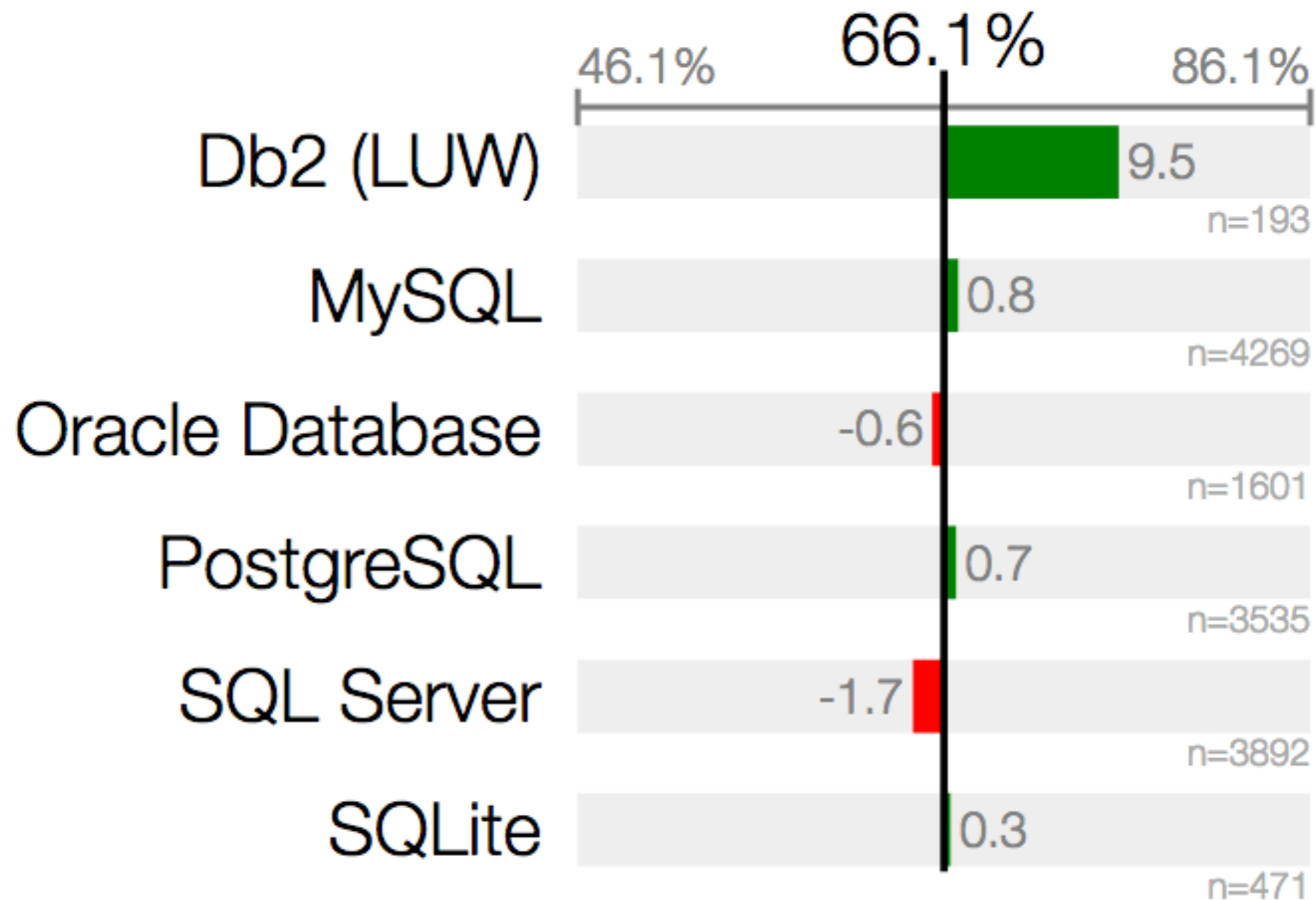
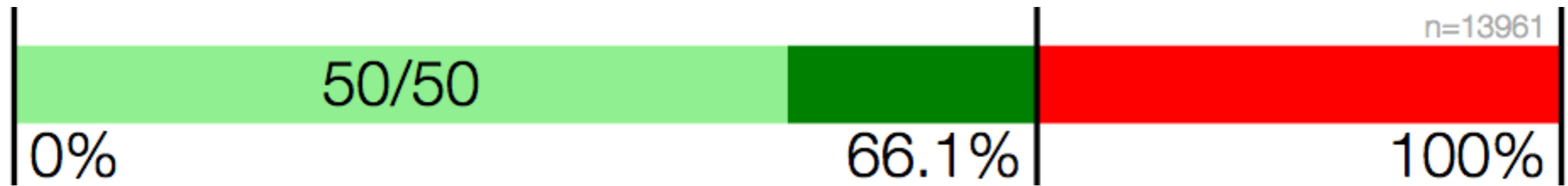
As fast as a primary key lookup because it can never return more than one row.

# 3-Minute Quiz: Q2 — Results

---



# 3-Minute Quiz: Q2 — Results



# 3-Minute Quiz: Indexing Skills

---

Q3: Good or Bad? *(Column order)*

```
CREATE INDEX tbl_idx ON tbl (a, b);
```

```
SELECT id, a, b FROM tbl  
WHERE a = ? AND b = ?;
```

```
SELECT id, a, b FROM tbl  
WHERE b = ?;
```

# 3-Minute Quiz: Indexing Skills

---

Q3: Good or Bad? *(Column order)*

```
CREATE INDEX tbl_idx ON tbl (a, b);
```

```
SELECT id, a, b FROM tbl  
WHERE a = ? AND b = ?;
```

```
SELECT id, a, b FROM tbl  
WHERE b = ?;
```



## Important

The most important consideration when defining a concatenated index is how to choose the column order so it can support as many SQL queries as possible.



# 3-Minute Quiz: Indexing Skills

---

As-is only one query can use the index (a,b):

...WHERE a = ? AND b = ?;

Bitmap Heap Scan on tbl (rows=6)

-> Bitmap **Index Scan** on tbl\_idx (rows=6)

Index Cond: ((a = 123) AND (b = 1))

Total runtime: **0.055 ms**

...WHERE b = ?;

**Seq Scan** on tbl (rows=5142)

Rows Removed by Filter: 44858

Total runtime: **29.849 ms**

# 3-Minute Quiz: Indexing Skills

---

Change the index to (b, a) so both can use it:

...WHERE a = ? AND b = ?;

Bitmap Heap Scan on tbl (rows=6)

-> Bitmap **Index Scan** on tbl\_idx (rows=6)

Index Cond: ((a = 123) AND (b = 1))

Total runtime: **0.056 ms**

...WHERE b = ?;

Bitmap Heap Scan on tbl (rows=5142)

-> Bitmap **Index Scan** on tbl\_idx (rows=5142)

Index Cond: (b = 1::numeric)

Total runtime: **6.932 ms**

# 3-Minute Quiz: Indexing Skills

---

Change the index to (b, a) so both can use it:

...WHERE a = ? AND b = ?;

Bitmap Heap Scan on tbl (rows=6)

-> Bitmap **Index Scan** on tbl\_idx (rows=6)

Index Cond: ((a = 123) AND (b = 1))

Total runtime: **0.056 ms**

...WHERE b = ?;

Bitmap Heap Scan on tbl (rows=5142)

-> Bitmap **Index Scan** on tbl\_idx (rows=5142)

Index Cond: (b = 1::numeric)

Total runtime: **6.932 ms**



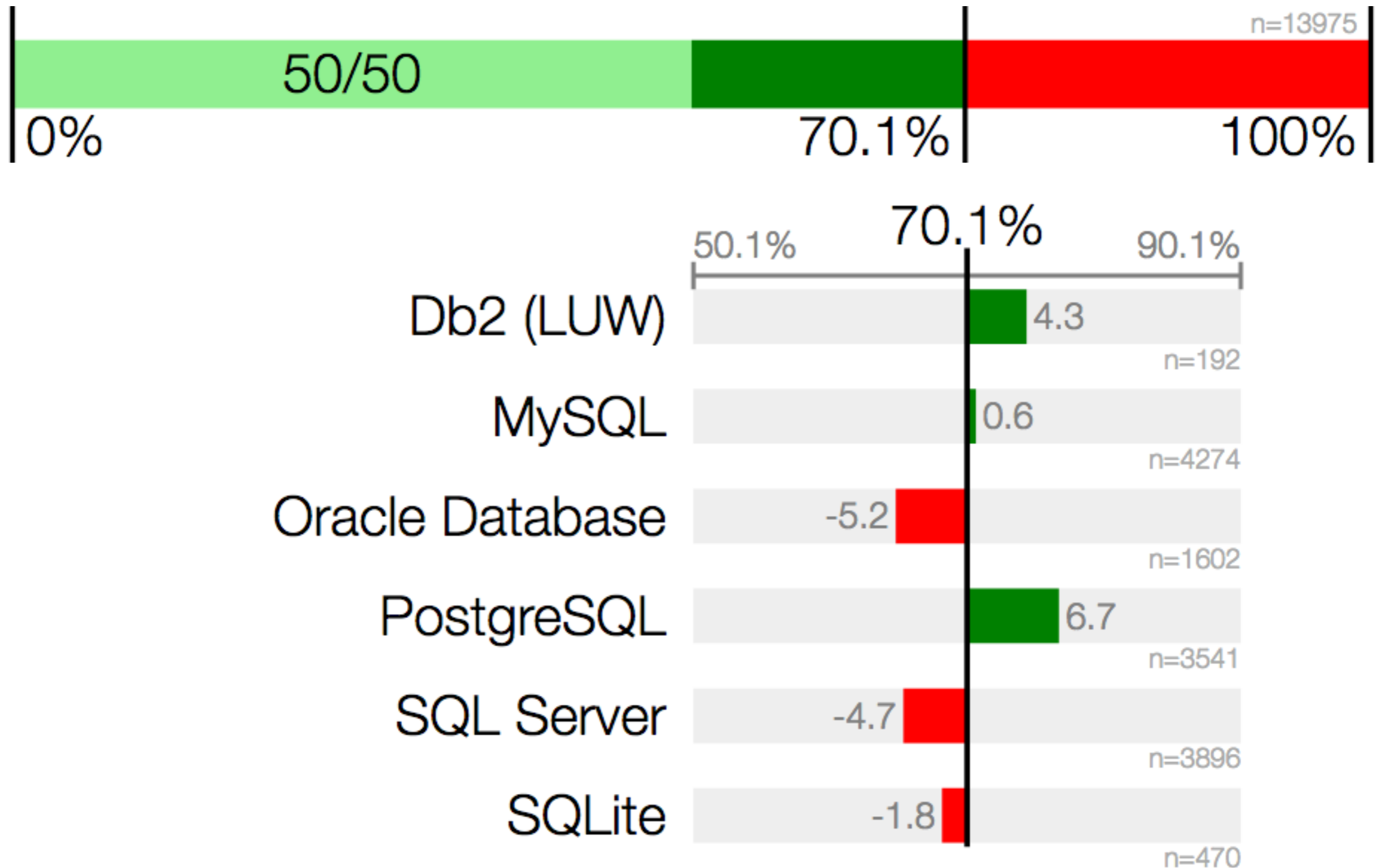
4x  
faster

# 3-Minute Quiz: Q3 — Results

---



# 3-Minute Quiz: Q3 — Results



# 3-Minute Quiz: Indexing Skills

---

Q4: Good or Bad?

*(Indexing LIKE)*

```
CREATE INDEX tbl_idx
  ON tbl (text);

SELECT id, text
  FROM tbl
 WHERE text LIKE 'TJ%';
```

# 3-Minute Quiz: Indexing Skills

---

Q4: Good or Bad?


*(Indexing LIKE)*

```
CREATE INDEX tbl_idx
  ON tbl (text);

SELECT id, text
  FROM tbl
 WHERE text LIKE 'TJ%';
```



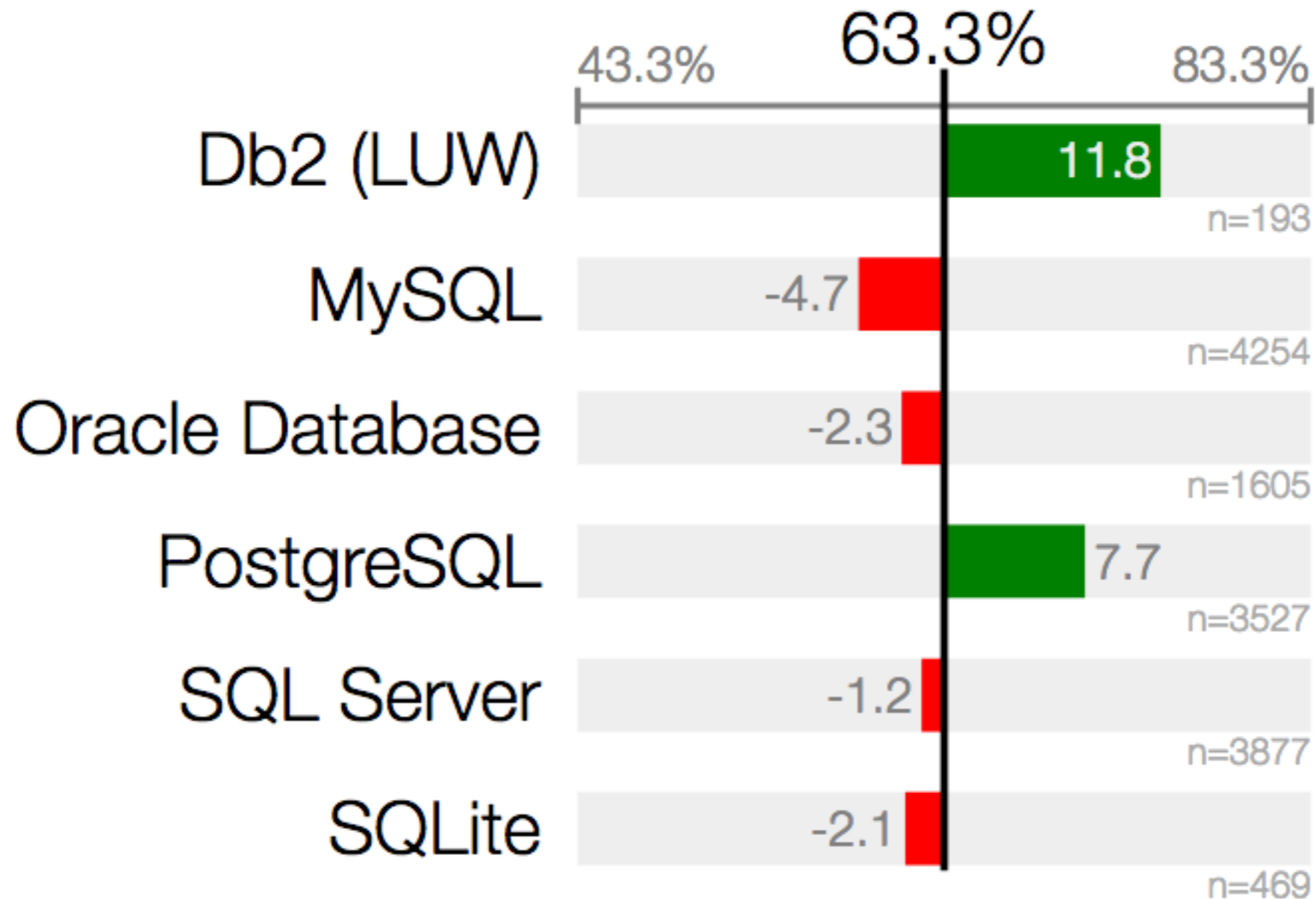
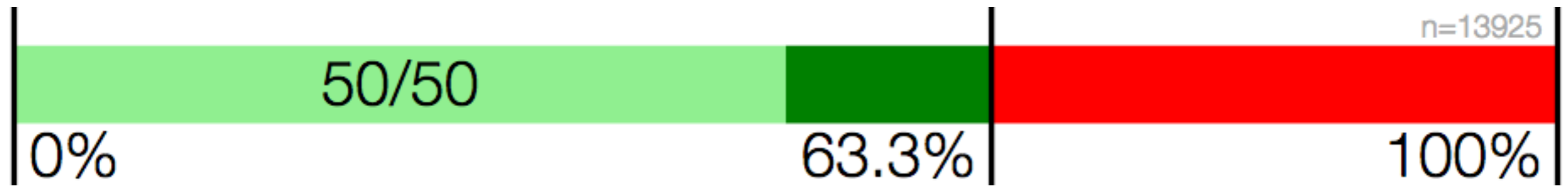
Tip

 Tweet this tip

Avoid LIKE expressions with leading wildcards (e.g., '%TERM').

<http://use-the-index-luke.com/sql/where-clause/searching-for-ranges/like-performance-tuning>

# 3-Minute Quiz: Q4 — Results





# 3-Minute Quiz: Indexing Skills

---

Q5: How will performance change? (IOS)

```
CREATE INDEX tbl_idx  
      ON tbl (a, date_column);
```

```
SELECT date_column  
      , count(*)  
      FROM tbl  
      WHERE a = ?  
      GROUP BY date_column;
```

(~3 rows)

# 3-Minute Quiz: Indexing Skills

---

Q5: How will performance change? (IOS)

```
CREATE INDEX tbl_idx
      ON tbl (a, date_column);
```

```
SELECT date_column
       , count(*)
  FROM tbl
 WHERE a = ?
 GROUP BY date_column;
```

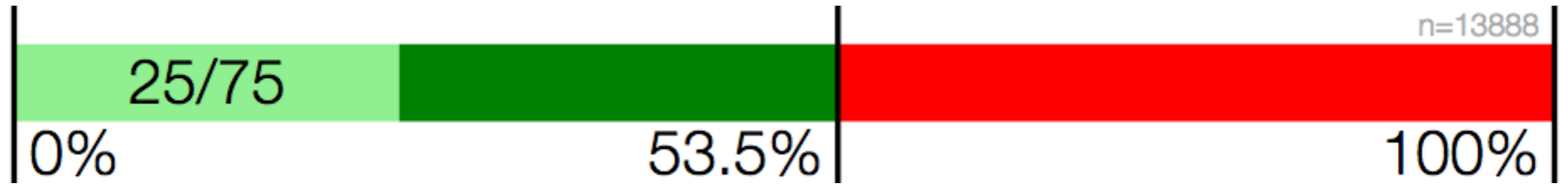
(~3 rows)

```
SELECT date_column
       , count(*)
  FROM tbl
 WHERE a = ?
       AND b = ?
 GROUP BY date_column;
```

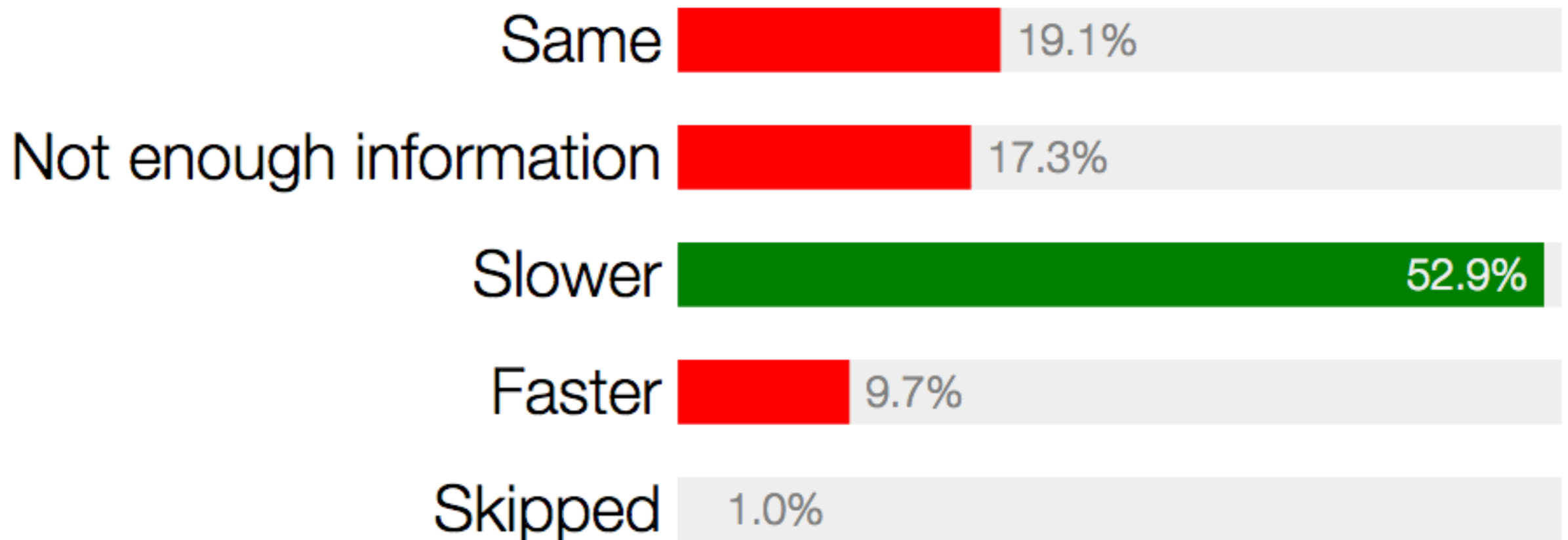
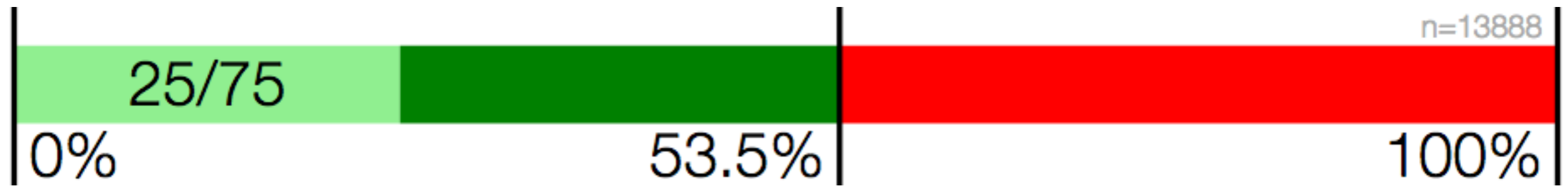
(~1 rows)

# 3-Minute Quiz: Q4 — Results

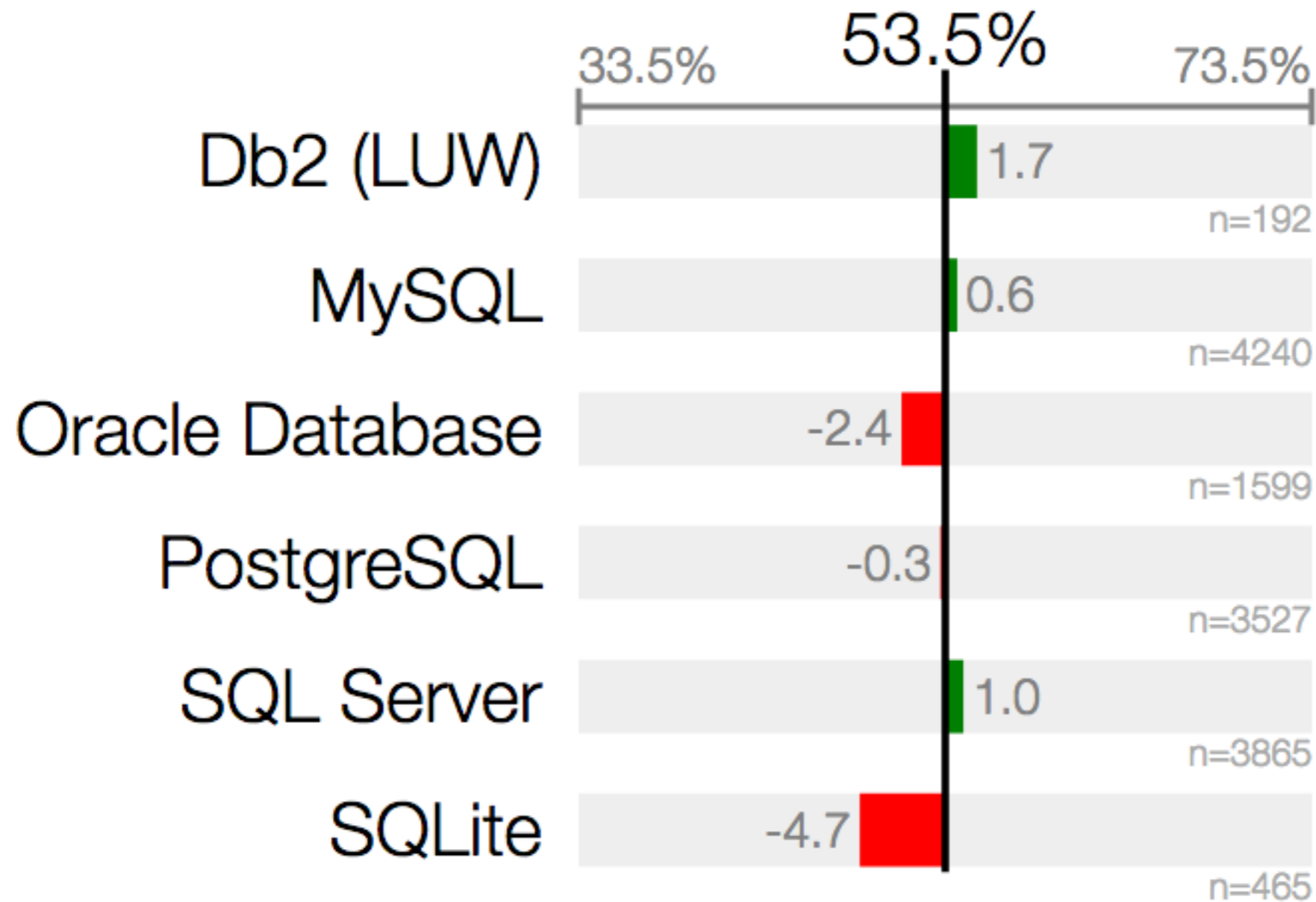
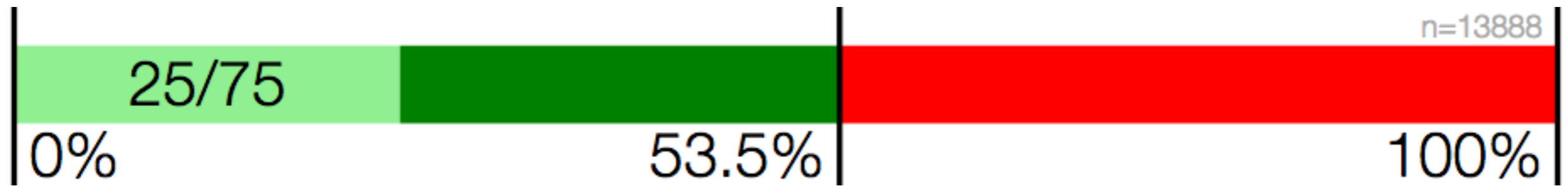
---



# 3-Minute Quiz: Q4 — Results



# 3-Minute Quiz: Q4 — Results



# 3-Minute Quiz: Indexing Skills

---

Original query could do an index-only scan (“covering index”), new query not.

GroupAggregate (actual rows=3 loops=1)

-> **Index Only Scan** using tbl\_idx on tbl (actual rows=3 loops=1)

GroupAggregate (actual rows=1 loops=1)

Group Key: date\_column

-> Sort (actual rows=1 loops=1)

-> **Bitmap Heap Scan** on tbl (actual rows=1 loops=1)

**Rows Removed by Filter: 2**

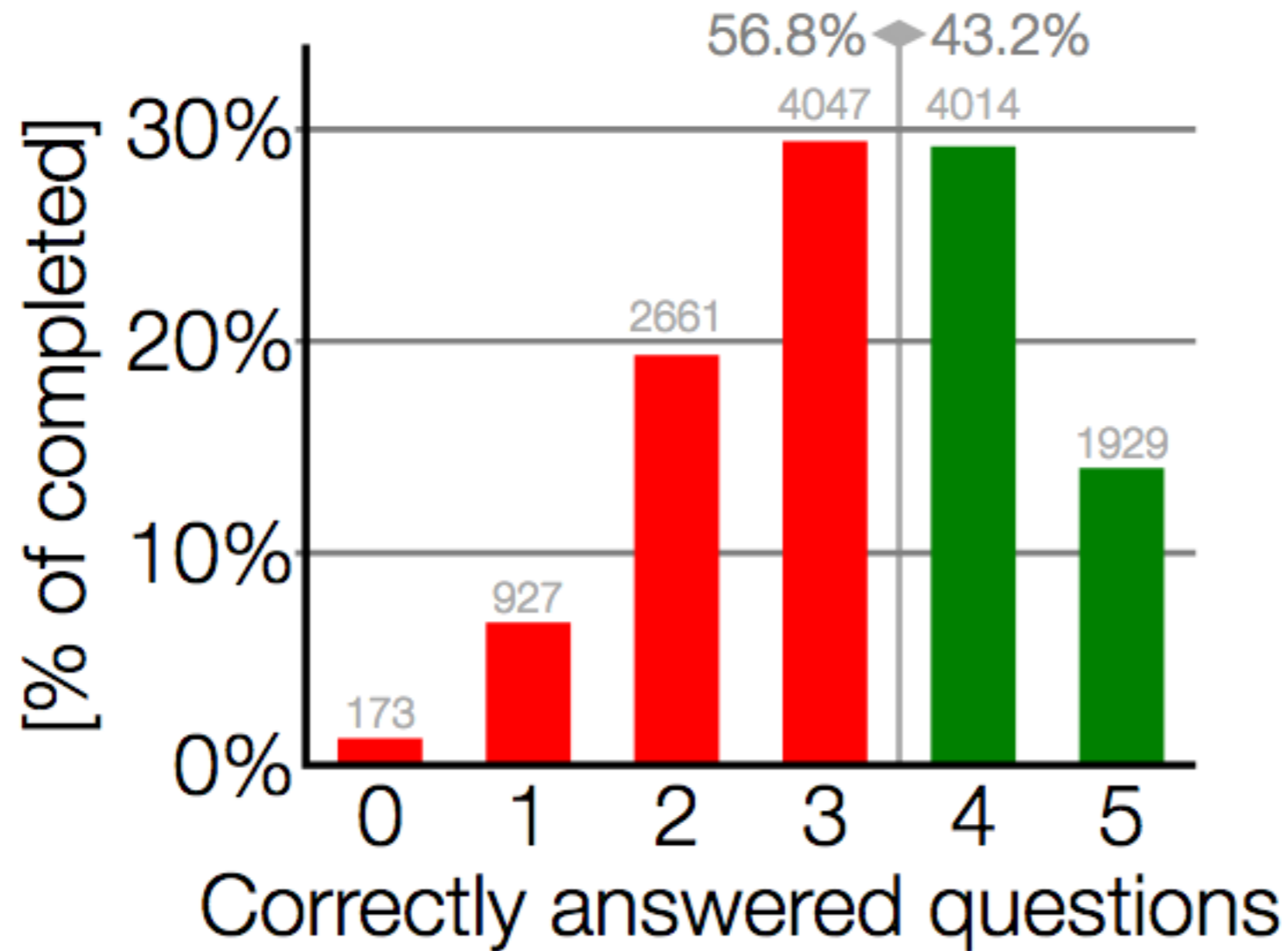
-> **Bitmap Index Scan** on tbl\_idx (actual rows=3 loops=1)

3-Minute Quiz: How many pass it?

---

# 3-Minute Quiz: How many pass it?

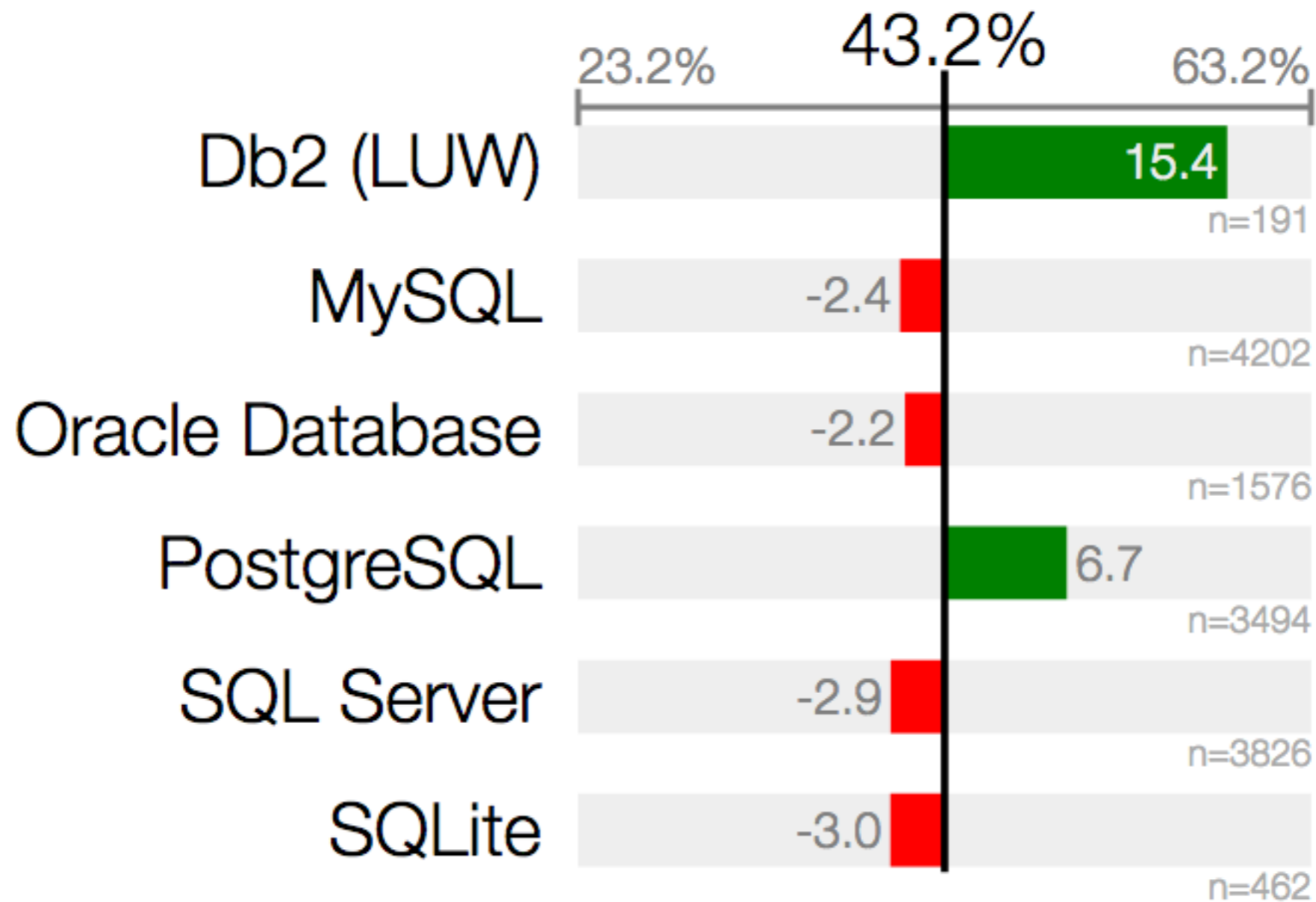
---





# 3-Minute Quiz: How many pass it?

---



# Indexes: The Neglected All-Rounder

---

Everybody knows indexing is important for performance, yet nobody takes the time to learn and apply it properly.

# Indexes: The Neglected All-Rounder

---

Index details are hardly known.

➔ “Details” like column-order or equality vs. range conditions must be learned and understood.

# Indexes: The Neglected All-Rounder

---

Index details are hardly known.

➔ “Details” like column-order or equality vs. range conditions must be learned and understood.

Only one index capability is used: finding data quickly

➔ Indexes have three capabilities (powers):  
finding data, clustering data, and sorting data.

# Indexes: The Neglected All-Rounder

---

Index details are hardly known.

➔ “Details” like column-order or equality vs. range conditions must be learned and understood.

Only one index capability is used: finding data quickly

➔ Indexes have three capabilities (powers):  
finding data, clustering data, and sorting data.

Indexing is done from single query perspective.

➔ Should be done from application perspective (considering all queries). It's a design task!

# Indexes: The Neglected All-Rounder

---

Are you just adding indexes

or

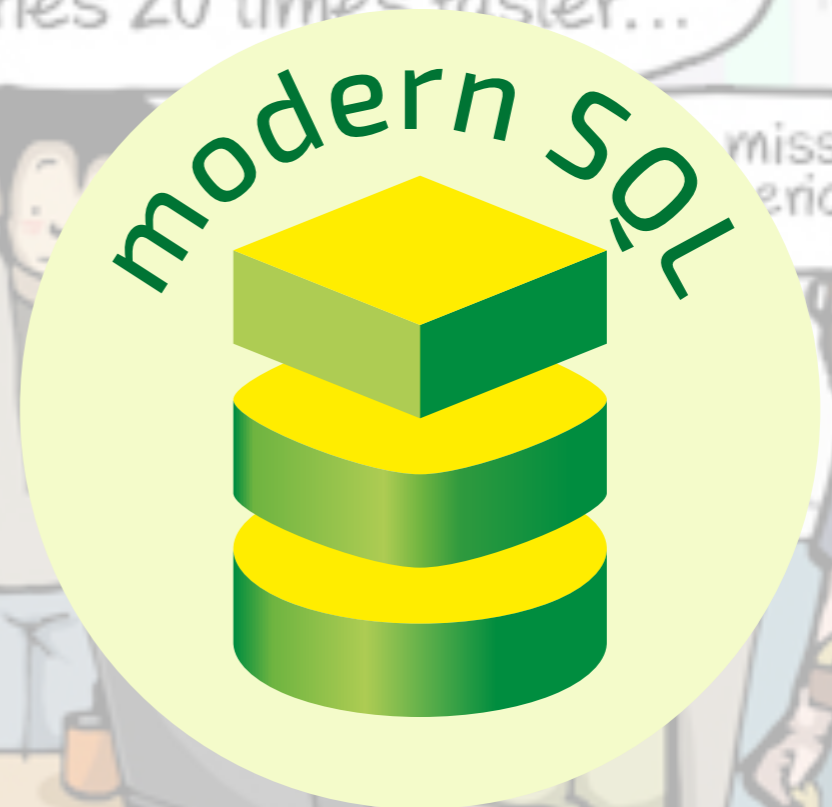
are you designing indexes?



winand.at



use-the-index-luke.com



modern-sql.com

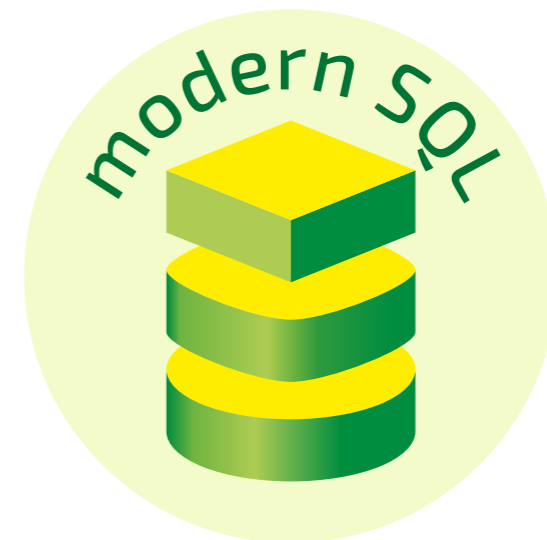
# Bitte geben Sie uns jetzt Ihr Feedback!

Volkskrankheit “stiefmütterliche  
Indizierung”

*Markus Winand*



[use-the-index-luke.com](http://use-the-index-luke.com)



[modern-sql.com](http://modern-sql.com)